

PATENT Attorney Docket No. AURO1120-5

Fredman, J. N.

1634

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Whitney et al.

y et al. Art Unit:
114 Examiner:

Serial No.: Filed:

09/772,114 January 26, 2001

Title:

METHODS AND COMPOSITIONS FOR SENSITIVE AND RAPID,

FUNCTIONAL IDENTIFICATION OF GENOMIC POLYNUCLEOTIDES AND

USE FOR CELLULAR ASSAYS IN DRUG DISCOVERY

MAIL STOP DD Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. § 1.97, enclosed are references relating to the above-identified application. Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. For the convenience of the Examiner, these references are listed on the attached Form PTO-1449 and copies are enclosed herewith.

It is respectfully requested that these references be considered in the examination of this application and their consideration be made of written record in the application file.

**CERTIFICATION UNDER 37 CFR §1.8** 

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on May 12, 2003, in an envelope addressed to: Mail Stop DD, Commissioner for Patents, P.O. Box 1450, Alexandria, VA

Karen LePari

In re Application of: Whitney et al.

Application No.: 09/772,114

Filed: January 26, 2001

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No fee is deemed necessary in connection with the filing of this Information Disclosure Statement as the items of information contained in this statement were cited in a communication from a foreign patent office in a counterpart foreign application, the communication being dated February 12, 2003, which is not more than three months prior to the filing of this statement. However, if any fee is required, authorization is hereby given to charge the amount of any such

Respectfully submitted,

Date: May 12, 2003

Emanuel f. Vacchiano, J.D., Ph.D.

**PATENT** 

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fee, or credit any overpayment, to Deposit Account No. 50-1355.

FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office	Docket No. AURO1120-5	Serial No.: 09/772,114	
	Applicant: Whitney et al.		
INFORMATION DISCLOSURE STATEMENT BY APPLICANTO	Filing Date: January 26, 2001	Group Art Unit: 1634	
MAY 1 6 2003 6			

U.S. PATENT DOCUMENTS

EXAM. INITIALS	₩ TR	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA	5,487,992	01/30/1996	Mario R. Capeechi and Kirk R. Thomas			
-							

## FOREIGN PATENT DOCUMENTS

EXAM. INITIALS		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION (YES/NO)
	AB	WO 97/06277	02/20/1997	PCT			

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages)

AC	Skarnes, William C. et al., "A gene trap approach in mouse embryonic stem cells: the lacZ reporter is activated by splicing, reflects endogenous gene expression, and is mutagenic in mice", Genes and Development, Vol. 6, No., 903-918, 1992.
AD	Reddy et al., "Fluorescence activated sorting of totipotent embryonic stem cells expressing developmentally regulated lacZ fusion genes", <i>Proc. Natl. Acad. Sci., USA</i> , Vol. 89, 6721-6725, 1992.
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EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form 1449